

**The Statement of Anti-Money Laundering and Combating the Financing of Terrorism  
and the Proliferation of Weapons of Mass Destructions**

**of**

**Export-Import Bank of Thailand**

In accordance with the applicable laws, rules and regulations related to the prevention of money laundering and financing of terrorism and the proliferation of weapons of mass destructions, Export-Import Bank of Thailand (“EXIM Thailand”) is pleased to provide details and information of the operation as follows:

1. EXIM Thailand is a special purposed financial institution wholly owned by the Royal Thai Government under the Ministry of Finance and Bank of Thailand’s supervision. EXIM Thailand was established by the Export-Import Bank of Thailand Act B.E. 2536 (1993). This became effective on September 7<sup>th</sup>, 1993 and its operation was started on February 17<sup>th</sup>, 1994. The bank maintains a physical presence address at EXIM Building 1193 Phaholyothin Road, Samsennai, Phayathai, Bangkok 10400, Thailand. At the present, the bank has 9 domestic branches. The bank does not have an overseas branch or subsidiary, but the bank has an overseas representative office in Yangon, Myanmar. Head office, all branches and overseas representative office are required to comply with the same AML/CFT/WMD policy and procedures. Further details can be obtained from the website at [www.exim.go.th](http://www.exim.go.th).
2. With regards to anti-money laundering and combating the financing of terrorism and the proliferation of weapons of mass destructions, EXIM Thailand is governed by the Anti-Money Laundering Act B.E. 2542 (1999) and its amendments, Counter-Terrorism and the Proliferation of Weapons of Mass Destructions Financing Act B.E. 2559 (2016) and the related regulations under the supervision of the Anti-Money Laundering Office (“AMLO”) established as a law enforcement agency who has power to investigate and detect money laundering and financing of terrorism and the proliferation of weapons of mass destructions crimes. All details of law and the AMLO can be obtained from the website at [www.amlo.go.th](http://www.amlo.go.th). The statement of anti-money laundering and combating the financing of terrorism and the proliferation of weapons of mass destructions of EXIM Thailand is subjected to these laws and can be concluded as follows:
  - i. Implementing the written AML/CFT/WMD policy and procedures. The policy and procedures will be reviewed annually or when there is a significant change in relevant laws, rules or regulations.
  - ii. Appointing AML/CFT/WMD officers responsible for coordinating and monitoring the compliance with the applicable laws, rules and relevant regulations.

- iii. Prohibition of opening and maintaining any anonymous account and payable through account.
- iv. Ascertainment of customer identification consisting of know your customer and customer due diligence including enhanced due diligence for high-risk customer (if necessary) to obtain information and verify the identity of customer.
- v. Scanning customer, counterparty, transaction and the other information against UN Sanction list, Thailand list, PEPs list and the other risk lists including international lists such as OFAC, HM, EU etc.
- vi. Having a measure for freezing the assets of the designated person or legal entity issued under the United Nations Security Council and Thailand court and informing the relevant supervisory authority (including AMLO) immediately in case of finding.
- vii. Having a risk based assessment for determining the risk level of customer. The time frame of monitoring and reviewing will depend on the risk level.
- viii. Implementing the monitoring procedures for detection of suspicious transaction.
- ix. Reporting to the relevant supervisory authority the suspicious transaction and the transaction that involves huge amount of money as specified by the law.
- x. Paying special attention to correspondent banking business and adequate security measures to be implemented.
- xi. Maintaining the record of customer or counterparty identification and customer transaction not less than ten years from the date that the account is closed or the date that the relationship is terminated or the date that the transaction is occurred, whichever is longer.
- xii. Providing all employees and the related staff with AML/CFT/WMD training program at least once a year or when there is any change in laws, rules or regulations.
- xiii. Having a self-risk assessment regarding AML/CFT/WMD regulations and a measure for such risk management.

3. EXIM Thailand reports to AMLO for the following transactions as required by the law:

- i. The transaction that involves money equal or equivalent to or more than Baht 2,000,000.- (Baht two million).
- ii. The transaction that involves asset with value equal or equivalent to or more than Baht 5,000,000.- (Baht five million).

- iii. The transaction that is considered “Suspicious” as required by the law. (Transaction with reasonable grounds to believe that it is conducted to avoid the law or transaction connected or possibly connected with the predicate offense or terrorist financing offence and shall include an attempt to conduct such a transaction).
  - iv. The wire transfer transaction equivalent to or more than Baht 700,000.- (Baht seven hundred thousand).
4. EXIM Thailand has policy prohibiting any business relationships with Shell Banks (defined as a bank incorporated in a jurisdiction in which it has no physical presence and which is unaffiliated with a regulated financial group) or any bank that conducts transactions with or on behalf of Shell Banks.
5. EXIM Thailand has an Internal Audit Department which is responsible for ensuring the bank’s functions comply with the internal procedures. It will conduct general audit which includes anti-money laundering and combating the financing of terrorism and the proliferation of weapons of mass destructions issue. In addition, Bank of Thailand, AMLO and Office of Auditor General of Thailand also have authority to audit the bank.
6. EXIM Thailand has confidential measure regarding complying with anti-money laundering and combating the financing of terrorism and the proliferation of weapons of mass destructions laws, rules and regulations.
7. EXIM Thailand has never been subject to any regulator or criminal enforcement action resulting from violation of anti-money laundering and combating the financing of terrorism and the proliferation of weapons of mass destructions laws or regulations.

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